



04-02-04
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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/688,077 (Patent No. 5,563,067)	
	Filing Date	October 13, 2000	
	First Named Inventor	Hirokazu SUGIHARA	
	Art Unit	1744	
	Examiner Name	D. Redding	
Total Number of Pages in This Submission	6	Attorney Docket Number	369212000131

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form + duplicate copy for fee processing (2 pages) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (2 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer (1 page) <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) E. Thomas Wheelock - 28,825
Signature	
Date	March 31, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Label No. EV 333856385 US, in an envelope addressed to: Mail Stop Reissue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: March 31, 2004	Signature: (Tia B. Zimmerman)



Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	110.00
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Complete if Known

Application Number	09/688,077 (Patent No. 5,563,067)
Filing Date	October 13, 2000
First Named Inventor	Hirokazu SUGIHARA
Examiner Name	D. Redding
Art Unit	1744
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METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/>	Check	<input type="checkbox"/>	Credit Card	<input type="checkbox"/>	Money Order	<input type="checkbox"/>	Other	<input type="checkbox"/>	None
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☒ **Deposit Account:**

Deposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

The Director is authorized to: (check all that apply)

<input checked="" type="checkbox"/>	Charge fee(s) indicated below	<input checked="" type="checkbox"/>	Credit any overpayments
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☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Cost of Sales	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. Impairment of Financial Assets	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.
4. Provisions	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.
5. Financial Instruments	Financial instruments are recognized when they are acquired or issued, and they are measured at fair value.	Financial instruments are recognized when they are acquired or issued, and they are measured at fair value.
6. Share-based Payments	Share-based payments are recognized when the entity grants or issues equity instruments to its employees or directors, and the amount of the payment is measured at the fair value of the equity instruments.	Share-based payments are recognized when the entity grants or issues equity instruments to its employees or directors, and the amount of the payment is measured at the fair value of the equity instruments.
7. Leases	Leases are recognized when the entity enters into a contract that transfers the right to use an asset for a period of time, and the amount of the lease is measured at the present value of the lease payments.	Leases are recognized when the entity enters into a contract that transfers the right to use an asset for a period of time, and the amount of the lease is measured at the present value of the lease payments.
8. Intangible Assets	Intangible assets are recognized when they are acquired or developed, and they are measured at cost.	Intangible assets are recognized when they are acquired or developed, and they are measured at cost.
9. Goodwill	Goodwill is recognized when an entity acquires another entity, and it is measured at the difference between the cost of the acquisition and the fair value of the identifiable intangible assets.	Goodwill is recognized when an entity acquires another entity, and it is measured at the difference between the cost of the acquisition and the fair value of the identifiable intangible assets.
10. Other	Other items are recognized when they are acquired or issued, and they are measured at fair value.	Other items are recognized when they are acquired or issued, and they are measured at fair value.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)	(\$)	0.00
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims	10	-20 =	0	x	18.00	=	0.00
Independent Claims	1	-3 =	0	x	86.00	=	0.00
Multiple Dependent					290.00	=	0.00

Large Entity		Small Entity		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0.00
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****or number previously paid, if greater. For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Cost of Sales	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. Impairment of Financial Assets	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.
4. Provisions	Provisions are recognized when there is a present obligation as a result of a past event, and the obligation is measured at the best estimate of the amount required to settle the obligation.	Provisions are recognized when there is a present obligation as a result of a past event, and the obligation is measured at the best estimate of the amount required to settle the obligation.
5. Share-based Payments	Share-based payments are recognized when the entity receives services from employees or directors, and the payment is measured at the fair value of the equity instrument.	Share-based payments are recognized when the entity receives services from employees or directors, and the payment is measured at the fair value of the equity instrument.
6. Financial Instruments	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the instrument is measured at the fair value.	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and the instrument is measured at the fair value.
7. Leases	Leases are recognized when the entity enters into a contract that gives rise to a lease, and the lease is measured at the present value of the lease payments.	Leases are recognized when the entity enters into a contract that gives rise to a lease, and the lease is measured at the present value of the lease payments.
8. Intangible Assets	Intangible assets are recognized when the entity acquires an intangible asset, and the asset is measured at the cost.	Intangible assets are recognized when the entity acquires an intangible asset, and the asset is measured at the cost.
9. Goodwill	Goodwill is recognized when the entity acquires a business, and the goodwill is measured at the difference between the cost of the business and the fair value of the identifiable intangible assets.	Goodwill is recognized when the entity acquires a business, and the goodwill is measured at the difference between the cost of the business and the fair value of the identifiable intangible assets.
10. Provisions for Contingent Liabilities	Provisions for contingent liabilities are recognized when there is a present obligation as a result of a past event, and the obligation is measured at the best estimate of the amount required to settle the obligation.	Provisions for contingent liabilities are recognized when there is a present obligation as a result of a past event, and the obligation is measured at the best estimate of the amount required to settle the obligation.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)		1814		Statutory Disclaimer	110.00

*Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$)	110.00
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SUBMITTED BY

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(Attorney/Agent)

28,825

(Complete (if applicable))

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Signature

Date

March 31, 2004